

INFORMATION OF SHAREHOLDING PATTERN AS PER CLAUSE 35 OF THE LISTING AGREEMENT WITH THE STOCK EXCHANGES

Name of the Company	PRISM FINANCE LIMITED
Scrip Code	ASE (45565) & BSE (531735)
Name of Scrip	BSE: PRISMFN
Class of Security	Equity Shares (Face value Rs. 10/-)
Quarter ended	30th September, 2014

Partly Paid-Up Shares	Not Applicable
Outstanding Convertible Securities	Not Applicable
Warrants	Not Applicable

Total paid up capital of the Company, assuming full conversion of warrants and convertible securities 6500300

(1)(a)	Statement showing shareholding pattern			Total No. of Shares	6500300			
Category Code	Category	No of Share holders	Total No. of Shares	No. of shares in Demat Form	Total Shareholding as % of Total No. of Shares		Shares pledged or otherwise encumbered	
					% of Total Shares i.e. A+B	% of Total Shares i.e. A+B+C	No. of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Promoter & Promoter Group							
1	Indian							
a	Individual/ HUF	26	1056200	0	16.25	16.25	0	0.00
b	Central/ State Govt.							
c	Bodies Corporate	10	1957500	0	30.11	30.11	0	0.00
d	Fin. Inst./ Bank							
e	Others							
	Sub Total (A)(1)	36	3013700	0.00	46.36	46.36	0	0.00
2	Foreign							
a	Individual (NRI/ Foreign)							
b	Bodies Corporate							
c	Institutions							
d	Others							
	Sub Total (A)(2)	0	0	0	0	0	0	0.00
	Total of Promoter & Promoter Group (A) = (A)(1) + (A)(2)	36	3013700	0	46.36	46.36	0	0.00
(B)	Public Shareholding						N.A	N.A
1	Institutions							
a	Mutual Funds							
b	Fin. Inst./ Bank							
c	Central/ State Govt.							
d	VCF							
e	Ins. Companies							
f	FII							
g	Foreign VCF							
h	Others							
	Sub Total (B)(1)	0	0	0	0.00	0.00		
2	Non Institutions						N.A.	N.A
a	Bodies Corporate	6	537000		8.26	8.26		
b(i)	Individuals holding < = Rs.1,00,000	1552	588600	1800	9.05	9.05		
b(ii)	Individuals holding > Rs. 1,00,000	33	2023400		31.13	31.13		
c	Others (NRI, Trust, CM & CH)	27	337600		5.19	5.19		
	Sub Total (B)(2)	1618	3486600	1800	53.64	53.64		
	Total Public Shareholding (B) = (B)(1) + (B)(2)	1618	3486600	1800	53.64	53.64		
	Total (A)+(B)	1654	6500300	1800	100.00	100.00	N.A.	N.A
(C)	Shares held as Depository Receipts						N.A.	N.A
	Grand Total (A)+(B)+(C)	1654	6500300	1800	100.00	100.00		

(I)(b) Statement showing Shareholding of persons belonging to Category 'Promoter and Promoter Group'

Sr. No.	Name of Shareholder	Total Shares held		Shares Pladged or otherwise encumbered		
		Number	% of A+B+C	Number	As a %	As a % of A+B+C
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)
1	Amisha Y. Patel	10	0.00	0	0.00	0.00
2	Arunprasad Purshottamdas Patel	138990	2.14	0	0.00	0.00
3	Arunprasad Purshottamdas Patel - HUF	42800	0.66	0	0.00	0.00
4	Deval Rahul Patel	104990	1.62	0	0.00	0.00
5	Leenaben Arunprasad Patel	131990	2.03	0	0.00	0.00
6	Poonam Pranay Patel	79990	1.23	0	0.00	0.00
7	Rahul Arunprasad Patel	83990	1.29	0	0.00	0.00
8	Hrushabh Y Patel	15000	0.23	0	0.00	0.00
9	Ritika Y Patel	15000	0.23	0	0.00	0.00
10	Som Shiva (Impex) Ltd	100700	1.55	0	0.00	0.00
11	Mas Chemicals Industries Ltd	929300	14.30	0	0.00	0.00
12	Bar Magnet Investment Pvt Ltd	600000	9.23	0	0.00	0.00
13	Mas Chemicals Industries Ltd	92500	1.42	0	0.00	0.00
14	Bar Magnet Investment Pvt Ltd	87500	1.35	0	0.00	0.00
15	Leenaben Arunprasad Patel	78000	1.20	0	0.00	0.00
16	Arunprasad Purshottamdas Patel	61000	0.94	0	0.00	0.00
17	Leena Patel	57400	0.88	0	0.00	0.00
18	Samurai Holdings Pvt Ltd	50000	0.77	0	0.00	0.00
19	Samurai Holdings Pvt Ltd	47500	0.73	0	0.00	0.00
20	Deval Rahul Patel	45000	0.69	0	0.00	0.00
21	Poonam Pranay Patel	45000	0.69	0	0.00	0.00
22	Deval Patel	43000	0.66	0	0.00	0.00
23	Poonam Patel	43000	0.66	0	0.00	0.00
24	Som Shiva (Impex) Ltd	30000	0.46	0	0.00	0.00
25	Arunprasad P. Patel	25000	0.38	0	0.00	0.00
26	Amisha Arunbhai Patel	14490	0.22	0	0.00	0.00
27	Bar Magnet Investment Pvt Ltd	12500	0.19	0	0.00	0.00
28	Rahul Arunprasad Patel	11000	0.17	0	0.00	0.00
29	Amisha Arunbhai Patel	10500	0.16	0	0.00	0.00
30	Leenaben Arunprasad Patel	10000	0.15	0	0.00	0.00
31	Mas Chemicals Industries Pvt Ltd	7500	0.12	0	0.00	0.00
32	Arunprasad Purshottamdas Patel	10	0.00	0	0.00	0.00
33	Deval Rahul Patel	10	0.00	0	0.00	0.00
34	Leenaben Arunprasad Patel	10	0.00	0	0.00	0.00
35	Poonam Pranay Patel	10	0.00	0	0.00	0.00
36	Rahul Arunprasad Patel	10	0.00	0	0.00	0.00
	Total	3013700	46.36	0	0.00	0.00

Note : There are no outstanding of Warrants/ Convertible Securities as on date

(I)(c)(i) Statement Showing Shareholding of Public holding more than 1 % of total Shares

Sr.No.	Name of Shareholder	No. of Shares	% of Total Shares
1	Kolon Investment Pvt. Ltd	286800	4.41
2	Prominent Plastics Ltd	157800	2.43
3	Bijal Shankarbhai Kaduskar	130200	2.00
4	Dinesh Jayantilal Thakkar	127500	1.96
5	Rajnikant Vakhtram Vyas	125100	1.92
6	Kashyap R. Mehta	109000	1.68
7	Mehroo Burjor Sethna	100400	1.54
8	Kamalrukh Tehmul Sethna	100400	1.54
9	Tehmul Sethna	100400	1.54
10	Dinesh M. Parakh - HUF	100000	1.54
11	Yogeshkumar Bhojnagarwala	86000	1.32
12	Guleshtan F. Deboo	80000	1.23
13	Guleshtan Farrokh Deboo	80000	1.23
14	Shailesh Shah	71700	1.10
15	Chetan Jani	71700	1.10
16	Parul Shah	71700	1.10
17	Bhupendra Patel	71700	1.10
18	Sardaben Patel	71700	1.10
19	Dipen Patel	71700	1.10
20	Savitriben Patel	71700	1.10
	Total	2085500	32.08

Note : There are no outstanding of Warrants/ Convertible Securities as on date

(I)(c)(ii) Statement Showing Shareholding of Persons belongs to Public holding more than 5 % of total Shares

Sr.No.	Name of Shareholder	No. of Shares	% of Total Shares
			0.00
			0.00
	Total	0	0.00

Note : There are no outstanding of Warrants/ Convertible Securities as on date

(I)(d) Statement Showing Details of Locked in Shares

Sr.No.	Name of Shareholder	No. of Shares	% of Total Shares
1	Amisha Y. Patel	10	0.00
2	Arunprasad Purshottamdas Patel	138990	2.14
3	Arunprasad Purshottamdas Patel - HUF	42800	0.66
4	Deval Rahul Patel	104990	1.62
5	Leenaben Arunprasad Patel	131990	2.03
6	Poonam Pranay Patel	79990	1.23
7	Rahul Arunprasad Patel	83990	1.29
8	Hrushabh Y Patel	15000	0.23
9	Ritika Y Patel	15000	0.23
10	Som Shiva (Impex) Ltd	100700	1.55
11	Mas Chemicals Industries Ltd	929300	14.30
12	Bar Magnet Investment Pvt Ltd	600000	9.23
13	Mas Chemicals Industries Ltd	92500	1.42
14	Bar Magnet Investment Pvt Ltd	87500	1.35
15	Leenaben Arunprasad Patel	78000	1.20
16	Arunprasad Purshottamdas Patel	61000	0.94
17	Leena Patel	57400	0.88
18	Samurai Holdings Pvt Ltd	50000	0.77
19	Samurai Holdings Pvt Ltd	47500	0.73
20	Deval Rahul Patel	45000	0.69
21	Poonam Pranay Patel	45000	0.69
22	Deval Patel	43000	0.66
23	Poonam Patel	43000	0.66
24	Som Shiva (Impex) Ltd	30000	0.46
25	Arunprasad P. Patel	25000	0.38
26	Amisha Arunbhai Patel	14490	0.22
27	Bar Magnet Investment Pvt Ltd	12500	0.19
28	Rahul Arunprasad Patel	11000	0.17
29	Amisha Arunbhai Patel	10500	0.16
30	Leenaben Arunprasad Patel	10000	0.15
31	Mas Chemicals Industries Pvt Ltd	7500	0.12
32	Arunprasad Purshottamdas Patel	10	0.00
33	Deval Rahul Patel	10	0.00
34	Leenaben Arunprasad Patel	10	0.00
35	Poonam Pranay Patel	10	0.00
36	Rahul Arunprasad Patel	10	0.00
	Total	3013700	46.36

(II)(a)	STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS	NOT APPLICABLE
(II)(b)	STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS IN EXCESS OF 1%	NOT APPLICABLE
(III)(a)	STATEMENT SHOWING THE VOTING PATTERN OF SHAREHOLDERS, IF MORE THAN ONE CLASS OF SHARES/SECURITIES IS ISSUED BY ISSUER	NOT APPLICABLE

FOR PRISM FINANCE LIMITED

KASHYAP R. MEHTA
DIRECTOR