

# PRISM FINANCE LIMITED

[CIN: L63910GJ1994PLC021915]

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**Regd. Office:** 301, Iscon Mall, Above Star India Bazar, Satellite Road, Ahmedabad – 380 015.  
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10<sup>th</sup> October, 2015

BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Fort,  
Mumbai-400 001

**Ref: Company Code No. 531735**

Dear Sir,

**Sub: Shareholding pattern for the quarter ended on 30<sup>th</sup> September, 2015**

Please find enclosed herewith shareholding pattern for the quarter ended on 30<sup>th</sup> September, 2015.

This is in compliance with Clause – 35 of the Listing Agreement.

Thanking you,

Yours faithfully,  
**for PRISM FINANCE LIMITED**

**KASHYAP R. MEHTA**  
**DIRECTOR**

Encl: As above

**INFORMATION OF SHAREHOLDING PATTERN AS PER CLAUSE 35 OF THE LISTING**

**AGREEMENT WITH THE STOCK EXCHANGES**

Name of the Company	PRISM FINANCE LIMITED
Scrip Code	ASE (45565) & BSE (531735)
Name of Scrip (BSE)	BSE: PRISMFN
Class of Security	Equity Shares (Face value Rs. 10/-)
As on Date	30TH SEPTEMBER, 2015

Partly Paid-Up Shares	Not Applicable		
Outstanding Convertible Securities	Not Applicable		
	No of Warrants	As a % of total No of warrants	As a % of Total No of shares of the Company, Assuming full conversion of Warrants
Held by Promoters/ Promoters' Group	0	0.00	0.00
Held by Public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Total paid up capital of the Company, assuming full conversion of warrants and convertible securities	6500300		

(1)(a)	Total No. of Shares				6500300			
Category Code	Category of Shareholder	No of Share holders	Total No. of Shares	No. of shares in Demat Form	Total Shareholding as % of Total No. of Shares		Shares pledged or otherwise encumbered	
					% of Total Shares i.e. A+B	% of Total Shares i.e. A+B+C	No. of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
<b>(A)</b>	<b>Promoter &amp; Promoter Group</b>							
<b>1</b>	<b>Indian</b>							
a	Individual/ HUF*	9	1056200	1026190	16.25	16.25	0	0.00
b	Central/ State Govt.							
c	Bodies Corporate*	4	1957500	1957500	30.11	30.11	0	0.00
d	Fin. Inst./ Bank							
e	Others							
	<b>Sub Total (A)(1)</b>	<b>13</b>	<b>3013700</b>	<b>2983690</b>	<b>46.36</b>	<b>46.36</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Foreign</b>							
a	Individual (NRI/ Foreign)							
b	Bodies Corporate							
c	Institutions							
d	Qualified Foreign Investors							
e	Others							
	<b>Sub Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>
	<b>Total of Promoter &amp; Promoter Group (A) = (A)(1) + (A)(2)</b>	<b>13</b>	<b>3013700</b>	<b>2983690</b>	<b>46.36</b>	<b>46.36</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Public Shareholding</b>						<b>N.A.</b>	<b>N.A.</b>
<b>1</b>	<b>Institutions</b>							
a	Mutual Funds							
b	Fin. Inst./ Bank							
c	Central/ State Govt.							
d	VCF							
e	Ins. Companies							
f	FII							
g	Foreign VCF							
h	Qualified Foreign Investors							
i	Others							
	<b>Sub Total (B)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>		
<b>2</b>	<b>Non Institutions</b>							
a	Bodies Corporate	7	537700	158500	8.27	8.27		
b(i)	Individuals holding <= Rs.1,00,000	1554	588900	19000	9.06	9.06		
b(ii)	Individuals holding > Rs. 1,00,000	33	2022400	251400	31.11	31.11		
c	Others (NRI & CM)	27	337600		5.19	5.19		
	<b>Sub Total (B)(2)</b>	<b>1621</b>	<b>3486600</b>	<b>428900</b>	<b>53.64</b>	<b>53.64</b>		
	<b>Total Public Shareholding (B) = (B)(1) + (B)(2)</b>	<b>1621</b>	<b>3486600</b>	<b>428900</b>	<b>53.64</b>	<b>53.64</b>		
	<b>Total (A)+(B)</b>	<b>1634</b>	<b>6500300</b>	<b>3412590</b>	<b>100.00</b>	<b>100.00</b>	<b>N.A.</b>	<b>N.A.</b>
<b>(C)</b>	<b>Shares held as Depository Receipts</b>						<b>N.A.</b>	<b>N.A.</b>
	<b>Grand Total (A)+(B)+(C)</b>	<b>1634</b>	<b>6500300</b>	<b>3412590</b>	<b>100.00</b>	<b>100.00</b>		

**(I)(b) Statement showing Shareholding of Securities of persons belonging to Category 'Promoter and Promoter Group'**

Sr. No.	Name of Shareholder	Total Shares held		Shares Plagged or otherwise encumbered			Details of Warrants/ Convertible Securities		Total Shares (including underlying Shares assuming full conversion of Warrants/convertible securities) as a % of diluted share Capital
		Number	% of A+B+C	Number	As a %	As a % of A+B+C	Number	As a %	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)
1	Mas Chemicals Industries Pvt. Ltd	1029300	15.83	0	0.00	0.00	0	0	15.83
2	Bar Magnet Investment Pvt Ltd	700000	10.77	0	0.00	0.00	0	0	10.77
3	Leena Anuprasad Patel	277400	4.27	0	0.00	0.00	0	0	4.27
4	Anuprasad Purshottamas Patel	267800	4.12	0	0.00	0.00	0	0	4.12
5	Deval rahul Patel	193000	2.97	0	0.00	0.00	0	0	2.97
6	Poonam Pranay Patel	168000	2.58	0	0.00	0.00	0	0	2.58
7	Som Shiva (Impex) Ltd	130700	2.01	0	0.00	0.00	0	0	2.01
8	Rahul Arunprasad Patel	95000	1.46	0	0.00	0.00	0	0	1.46
9	Samurai Holdings Pvt Ltd	97500	1.50	0	0.00	0.00	0	0	1.50
10	Amisha A. Patel	24900	0.38	0	0.00	0.00	0	0	0.38
11	Hrushabh Y. Patel	15000	0.23	0	0.00	0.00	0	0	0.23
12	Ritika Y. Patel	15000	0.23	0	0.00	0.00	0	0	0.23
13	Amisha Y. Patel	10	0.00	0	0.00	0.00	0	0	0.00
	<b>Total</b>	<b>3013700</b>	<b>46.36</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>46.36</b>

Note : There are no outstanding of Warrants/ Convertible Securities as on date

**(I)(c)(i) Statement Showing Shareholding of Public holding more than 1 % of total Shares**

Sr.No.	Name of Shareholder	No. of Shares	% of Total Shares	Details of Warrants/ Convertible Securities		Total Shares (including underlying Shares assuming full conversion of Warrants/convertible securities) as a % of diluted share Capital
				Number	As a %	
1	Kolon Investment Pvt. Ltd	286800	4.41	0	0	4.41
2	Prominent Plastics Ltd	157800	2.43	0	0	2.43
3	Bijal Shankarbai Kaduskar	130200	2.00	0	0	2.00
4	Dinesh Jayantilal Thakkar	127500	1.96	0	0	1.96
5	Rajnikant Vakhatram Vyas	125100	1.92	0	0	1.92
6	Kashyap R. Mehta	108000	1.66	0	0	1.66
7	Mehroo Burjor Sethna	100400	1.54	0	0	1.54
8	Kamalrukh Tehmul Sethna	100400	1.54	0	0	1.54
9	Tehmul Sethna	100400	1.54	0	0	1.54
10	Dinesh M. Parakh - HUF	100000	1.54	0	0	1.54
11	Yogeshkumar Bhojnagarwala	86000	1.32	0	0	1.32
12	Guleshtan F. Deboo	80000	1.23	0	0	1.23
13	Guleshtan Farrokh Deboo	80000	1.23	0	0	1.23
14	Shailesh Shah	73200	1.13	0	0	1.13
15	Parul Shah	71700	1.10	0	0	1.10
16	Chetan Jani	71700	1.10	0	0	1.10
17	Bhupendra Patel	71700	1.10	0	0	1.10
18	Sardaben Patel	71700	1.10	0	0	1.10
19	Dipen Patel	71700	1.10	0	0	1.10
20	Savitriben Patel	71700	1.10	0	0	1.10
	<b>Total</b>	<b>2086000</b>	<b>32.09</b>	<b>0</b>	<b>0</b>	<b>32.09</b>

Note : There are no outstanding of Warrants/ Convertible Securities as on date with Public

**(I)(c)(ii) Statement Showing Shareholding of Persons belongs to Public holding more than 5 % of total Shares**

Sr.No.	Name of Shareholder	No. of Shares	% of Total Shares	Details of Warrants/ Convertible Securities		Total Shares (including underlying Shares assuming full conversion of Warrants/convertible securities) as a % of diluted share Capital
				Number	As a %	
	NIL	0	0.00	0	0	0.00
	<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0.00</b>

Note : There are no outstanding of Warrants/ Convertible Securities as on date with Public

**(I)(d) Statement Showing Details of Locked in Shares**

Sr.No.	Name of Shareholder	No. of locked in Shares	% of Total Shares
	NIL	0	0.00
	<b>Total</b>	<b>0</b>	<b>0.00</b>

(II)(a)	STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS	NOT APPLICABLE
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(II)(b)	STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS IN EXCESS OF 1%	NOT APPLICABLE
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(III)(a)	STATEMENT SHOWING THE VOTING PATTERN OF SHAREHOLDERS, IF MORE THAN ONE CLASS OF SHARES/SECURITIES IS ISSUED BY ISSUER	NOT APPLICABLE
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FOR PRISM FINANCE LIMITED

KASHYAP R. MEHTA

DIRECTOR