

General information about company

Scrip code	531735
NSE Symbol	
MSEI Symbol	
ISIN	INE429Q01019
Name of the company	PRISM FINANCE LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	2983690			2983690	45.9	2983690		2983690	45.9
(B)	Public	1618	3516610			3516610	54.1	3516610		3516610	54.1
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										

(C2)	Shares held by Employee Trusts										
	Total	1625	6500300			6500300	100		6500300		6500300 100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				45.9					2983690
(B)	Public				54.1					446700
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					3430390

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	6	1026190			1026190	15.79	1026190		1026190	15.79
(d)	Any Other (specify)	1	1957500			1957500	30.11	1957500		1957500	30.11
	Sub-Total (A)(1)	7	2983690			2983690	45.9	2983690		2983690	45.9
(2)	Foreign										
	Total Shareholding of										

Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	2983690			2983690	45.9	2983690		2983690	45.9
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1558	708210			708210	10.9	708210		708210	10.9
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	27	1933800			1933800	29.75	1933800		1933800	29.75
(e)	Any Other (specify)	33	874600			874600	13.45	874600		874600	13.45
Sub-Total (B)(3)		1618	3516610			3516610	54.1	3516610		3516610	54.1
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1618	3516610			3516610	54.1	3516610		3516610	54.1
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1625	6500300			6500300	100	6500300		6500300	100
Total (A+B+C)		1625	6500300			6500300	100	6500300		6500300	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
(1)	Indian									
(a)				15.79						1026190
(d)				30.11						1957500
Sub-Total (A)(1)				45.9						2983690
(2)	Foreign									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				45.9						2983690
B	Table III - Statement showing shareholding pattern of the Public shareholder									
(1)	Institutions									
(3)	Non-institutions									
(a(i))				10.9						35300

(a(ii))				29.75					253600
(e)				13.45					157800
Sub-Total (B)(3)				54.1					446700
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				54.1					446700
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					3430390
Total (A+B+C)				100					3430390

Individuals/Hindu undivided Family						
Searial No.	1	2	3	4	5	6
Name of the Shareholders (I)	Leena Arunprasad Patel	Arunprasad Purshottamdas Patel	Deval Rahul Patel	Poonam Pranay Patel	Rahulbhai Patel	Amisha A Patel
PAN (II)	ABGPP6529G	ABGPP6270N	ADIPP7895B	AAXPP3196M	ABGPP6268C	ABGPP6254N
No. of fully paid up equity shares held (IV)	277400	267800	193000	168000	95000	24990
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	277400	267800	193000	168000	95000	24990
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.27	4.12	2.97	2.58	1.46	0.38
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	277400	267800	193000	168000	95000	24990
Class eg:y						
Total	277400	267800	193000	168000	95000	24990
Total as a % of Total Voting rights	4.27	4.12	2.97	2.58	1.46	0.38
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No.						

Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	4.27	4.12	2.97	2.58	1.46	0.38
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	277400	267800	193000	168000	95000	24990
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter	Promoter

Individuals/Hindu undivided Family	
Searial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	1026190
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1026190
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.79
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	1026190

Class eg:y	
Total	1026190
Total as a % of Total Voting rights	15.79
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	15.79
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	1026190
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Any Other (specify)		
Searial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Som Shiva (Impex) Ltd	
PAN (II)	AACCS7803E	Total
No. of the Shareholders (I)	1	1

No. of fully paid up equity shares held (IV)	1957500	1957500
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1957500	1957500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	30.11	30.11
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1957500	1957500
Class eg:y		
Total	1957500	1957500
Total as a % of Total Voting rights	30.11	30.11
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	30.11	30.11
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		

Number of equity shares held in dematerialized form (XIV)	1957500	1957500
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Bhupendra Patel	Bijal Shankarbai Kaduskar	Chetan Jani	Dinesh Jayantilal Thakkar	Dinesh M. Parakh (H.U.F)	Dipen Patel	Kamalrukh Tehmul Sethna
PAN (II)	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z
No. of fully paid up equity shares held (IV)	71700	130200	71700	127500	100000	71700	100400
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	71700	130200	71700	127500	100000	71700	100400
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.1	2	1.1	1.96	1.54	1.1	1.54
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	71700	130200	71700	127500	100000	71700	100400
Class eg:y							
Total	71700	130200	71700	127500	100000	71700	100400
Total as a % of Total Voting rights	1.1	2	1.1	1.96	1.54	1.1	1.54
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.1	2	1.1	1.96	1.54	1.1	1.54
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	0	0	0	0	0	0	0
Reason for not providing PAN							
Reason for not providing PAN	Textual Information(1)	Textual Information(2)	Textual Information(3)	Textual Information(4)	Textual Information(5)	Textual Information(6)	Textual Information(7)

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Searial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	Kashyap Rajendrabhai Mehta	Mehroo Burjor Sethna	Parul Shailesh Shah	Rajnikant Vakhattram Vyas	Sardaben Patel	Savitriben Patel	Shailesh Bakubhai Shah
PAN (II)	AAVPM9242K	ZZZZZ9999Z	CVGPS7487A	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	APPPS4053P
No. of fully paid up equity shares held (IV)	108000	100400	71700	125100	71700	71700	73900
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	108000	100400	71700	125100	71700	71700	73900
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.66	1.54	1.1	1.92	1.1	1.1	1.14
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	108000	100400	71700	125100	71700	71700	73900
Class eg:y							
Total	108000	100400	71700	125100	71700	71700	73900
Total as a % of Total Voting rights	1.66	1.54	1.1	1.92	1.1	1.1	1.14
No. Of Shares Underlying Outstanding							

convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.66	1.54	1.1	1.92	1.1	1.1	1.14
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	108000	0	71700	0	0	0	73900
Reason for not providing PAN							
Reason for not providing PAN		Textual Information(8)		Textual Information(9)	Textual Information(10)	Textual Information(11)	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	15	16	
Name of the Shareholders (I)	Tehmul Sethna	Yogeshkumar Bhojnagarwala	Click here to go back
PAN (II)	ZZZZZ9999Z	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	100400	86000	1482100
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	100400	86000	1482100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a	1.54	1.32	22.8

% of (A+B+C2)			
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	100400	86000	1482100
Class eg:y			
Total	100400	86000	1482100
Total as a % of Total Voting rights	1.54	1.32	22.8
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.54	1.32	22.8
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	0	0	253600
Reason for not providing PAN			
Reason for not providing PAN	Textual Information(12)	Textual Information(13)	

Text Block	
Textual Information(1)	PAN not available
Textual Information(2)	PAN not available
Textual Information(3)	PAN not available
Textual Information(4)	PAN not available
Textual Information(5)	PAN not available
Textual Information(6)	PAN not available
Textual Information(7)	PAN not available
Textual Information(8)	PAN not available

Textual Information(9)	PAN not available
Textual Information(10)	PAN not available
Textual Information(11)	PAN not available
Textual Information(12)	PAN not available
Textual Information(13)	PAN not available

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Non-Resident Indian (NRI)	Non-Resident Indian (NRI)	Non-Resident Indian (NRI)	Non-Resident Indian (NRI)	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)		Gulestan F Deboo	Gulestan Farrokh Deboo			Kolon Investment Pvt Ltd	Prominent Plastics Ltd
PAN (II)							AAACP2800Q
No. of the Shareholders (I)	4	1	1	23	6	1	1
No. of fully paid up equity shares held (IV)	210000	80000	80000	127600	537000	286800	157800
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	210000	80000	80000	127600	537000	286800	157800
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.23	1.23	1.23	1.96	8.26	4.41	2.43
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	210000	80000	80000	127600	537000	286800	157800
Class eg:y							
Total	210000	80000	80000	127600	537000	286800	157800
Total as a % of Total Voting rights	3.23	1.23	1.23	1.96	8.26	4.41	2.43
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding							

Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.23	1.23	1.23	1.96	8.26	4.41	2.43
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	0	0	0	0	0	0	157800
Reason for not providing PAN							
Reason for not providing PAN		Textual Information(1)	Textual Information(2)			Textual Information(3)	

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	33
No. of fully paid up equity shares held (IV)	874600
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	874600
Shareholding as a % of total no. of shares (calculated as per SCRR,	13.45

1957) (VIII) As a % of (A+B+C2)	
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	874600
Class eg:y	
Total	874600
Total as a % of Total Voting rights	13.45
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.45
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	0
Reason for not providing PAN	
Reason for not providing PAN	

Text Block	
Textual Information(1)	PAN not available
Textual Information(2)	PAN not available
Textual Information(3)	PAN not available

Sr. No.Details of the SBODetails of the registered ownerDetails of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:Date of creation / acquisition of significant beneficial interestNamePAN Passport No. in case of a foreign nationalNationalityNationality (Applicable in case of Any other is selected)NamePAN Passport No. in case of a foreign nationalNationalityNationality (Applicable in case of Any other is selected)Whether by virtue of:SharesVoting rightsRights on distributable dividend or any other distributionExercise of controlExercise of significant

